

Details of Complaints Data - Stock Broking

Data for the month ending – May 2022

| Sr. No | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved * | Pending at the end of the month** | | Average Resolution time^ (in days) |
|--------|-------------------------|-------------------------------------|---------------------------|---------------|------------|-----------------------------------|--------------------------------|------------------------------------|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL | N.A. |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | NIL | N.A. |
| 3. | Stock Exchanges | NIL | NIL | NIL | NIL | NIL | NIL | N.A. |
| 3 | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | NIL | N.A. |
| | Grand Total | NIL | NIL | NIL | NIL | NIL | NIL | N.A. |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

| Sr.No. | Month | Carried forward from previous month | Received | Resolved* | Pending# |
|--------|--------------------|-------------------------------------|----------|-----------|----------|
| 1 | June 2021 | NIL | NIL | NIL | NIL |
| 2 | July 2021 | NIL | NIL | NIL | NIL |
| 3 | August 2021 | NIL | NIL | NIL | NIL |
| 4 | September 2021 | NIL | NIL | NIL | NIL |
| 5 | October 2021 | NIL | NIL | NIL | NIL |
| 6 | November 2021 | NIL | NIL | NIL | NIL |
| 7 | December 2021 | NIL | NIL | NIL | NIL |
| 8 | January 2022 | NIL | NIL | NIL | NIL |
| 9 | February 2022 | NIL | NIL | NIL | NIL |
| 10 | March 2022 | NIL | NIL | NIL | NIL |
| 11 | April 2022 | NIL | NIL | NIL | NIL |
| 12 | May 2022 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

| SN | Year | Carried forward from previous year | Received | Resolved* | Pending# |
|----|--------------------|------------------------------------|----------|-----------|----------|
| 1 | 2017-18 | NIL | 4 | 4 | NIL |
| 2 | 2018-19 | NIL | 4 | 4 | NIL |
| 3 | 2019-20 | NIL | 1 | 1 | NIL |
| 4 | 2020-21 | NIL | NIL | NIL | NIL |
| 5 | 2021-22 | NIL | NIL | NIL | NIL |
| 6 | 2022-23 (YTD) | NIL | NIL | NIL | NIL |
| | Grand Total | | | | |

* Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.