

**Details of Complaints Data - Stock Broking**

Data for the month ending – December 2021

Sr. No	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved *	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL	N.A.
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL	N.A.
3.	Stock Exchanges	NIL	NIL	NIL	NIL	NIL	NIL	N.A.
3	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL	N.A.
	<b>Grand Total</b>	NIL	NIL	NIL	NIL	NIL	NIL	N.A.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of monthly disposal of complaints**

Sr.No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	January 2021	NIL	NIL	NIL	NIL
2	February 2021	NIL	NIL	NIL	NIL
3	March 2021	NIL	NIL	NIL	NIL
4	April 2021	NIL	NIL	NIL	NIL
5	May 2021	NIL	NIL	NIL	NIL
6	June 2021	NIL	NIL	NIL	NIL
7	July 2021	NIL	NIL	NIL	NIL
8	August 2021	NIL	NIL	NIL	NIL
9	September 2021	NIL	NIL	NIL	NIL
10	October 2021	NIL	NIL	NIL	NIL
11	November 2021	NIL	NIL	NIL	NIL
12	December 2021	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

**Trend of annual disposal of complaints**

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2017-18	NIL	4	4	NIL
2	2018-19	NIL	4	4	NIL
3	2019-20	NIL	1	1	NIL
4	2020-21	NIL	NIL	NIL	NIL
5	2021-22	NIL	NIL	NIL	NIL
	<b>Grand Total</b>				

\* Inclusive of complaints of previous years resolved in the current year.

# Inclusive of complaints pending as on the last day of the year.