

Details of Investors Complaints

Data for every month ending:
Year:
Product:

February
2023
Consolidated - Equity Products

S.no	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month	Total Pending during the particular month	Pending complaints > 1 month	Average Resolution time (in days)
1	Directly from Investors	2	-	1	1	1	14
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	Grand Total	2	-	1	1	1	

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

S.no	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month	Pending at the end of the particular month
1	October, 2022	1	0	0	1
2	November, 2022	1	4	2*	3
3	December, 2022	3	7	6	4
4	January, 2023	4	2	5	2
5	February, 2023	2	0	1	1
	Grand Total	11	13	12	11

*An older IPO complaint was responded to earlier however marked completed upon receipt of confirmation from client in Nov 2022

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	-	104	104	-
2	2022 (till date)	-	45	41	4
3	2023	4	2	5	1
4	2024	-	-	-	-
5	2025	-	-	-	-
	Grand Total	4	151	150	5

Details of Investors Complaints

Data for every month ending:

February

Year:

2023

Product:

Initial Public Offer and Further Public Offer including Offer for Sale

S.no	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month	Total Pending during the particular month	Pending complaints > 1 month	Average Resolution time (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	Grand Total	-	-	-	-	-	-

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

S.no	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month	Pending at the end of the particular month
1	October, 2022	3	0	2	1
2	November, 2022	1	0	0	1
3	December, 2022	1	0	1*	0
4	January, 2023	0	0	0	0
5	February, 2023	0	0	0	0
	Grand Total	5	-	5	2

*An older IPO complaint was responded to earlier however marked completed upon receipt of confirmation from client in Nov 2022

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	-	98	98	-
2	2022	-	33	33	-
3	2023	-	-	-	-
4	2024	-	-	-	-
5	2025	-	-	-	-
	Grand Total	-	131	131	-

Details of Investors Complaints

Data for every month ending: **February**
 Year: **2023**
 Product: **Rights Issue**

S.no	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month	Total Pending during the particular month	Pending complaints > 1 month	Average Resolution time (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	Grand Total	-	-	-	-	-	-

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

S.no	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month	Pending at the end of the particular month
1	October, 2022	-	-	-	-
2	November, 2022	-	-	-	-
3	December, 2022	-	-	-	-
4	January, 2023	-	-	-	-
5	February, 2023	-	-	-	-
	Grand Total	-	-	-	-

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	-	-	-	-
2	2022	-	-	-	-
3	2023	-	-	-	-
4	2024	-	-	-	-
5	2025	-	-	-	-
	Grand Total	-	-	-	-

Details of Investors Complaints

Data for every month ending:
Year:
Product:

February
2023
Qualified Institutions Placement (including Offer for Sale through Stock Exchanges)

S.no	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month	Total Pending during the particular month	Pending complaints > 1 month	Average Resolution time (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	Grand Total	-	-	-	-	-	-

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

S.no	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month	Pending at the end of the particular month
1	October, 2022	-	-	-	-
2	November, 2022	-	-	-	-
3	December, 2022	-	-	-	-
4	January, 2023	-	-	-	-
5	February, 2023	-	-	-	-
	Grand Total	-	-	-	-

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	-	6	6	-
2	2022	-	-	-	-
3	2023	-	-	-	-
4	2024	-	-	-	-
5	2025	-	-	-	-
	Grand Total	-	6	6	-

Details of Investors Complaints

Data for every month ending: **February**
 Year: **2023**
 Product: **Preferential Issue**

S.no	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month	Total Pending during the particular month	Pending complaints > 1 month	Average Resolution time (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	Grand Total	-	-	-	-	-	-

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

S.no	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month	Pending at the end of the particular month
1	October, 2022	-	-	-	-
2	November, 2022	-	-	-	-
3	December, 2022	-	-	-	-
4	January, 2023	-	-	-	-
5	February, 2023	-	-	-	-
	Grand Total	-	-	-	-

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	-	-	-	-
2	2022	-	-	-	-
3	2023	-	-	-	-
4	2024	-	-	-	-
5	2025	-	-	-	-
	Grand Total	-	-	-	-

Details of Investors Complaints

Data for every month ending: **February**
 Year: **2023**
 Product: **Buyback of Securities**

S.no	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month	Total Pending during the particular month	Pending complaints > 1 month	Average Resolution time (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	Grand Total	-	-	-	-	-	-

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

S.no	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month	Pending at the end of the particular month
1	October, 2022	-	-	-	-
2	November, 2022	-	-	-	-
3	December, 2022	-	-	-	-
4	January, 2023	-	-	-	-
5	February, 2023	-	-	-	-
	Grand Total	-	-	-	-

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	-	-	-	-
2	2022	-	-	-	-
3	2023	-	-	-	-
4	2024	-	-	-	-
5	2025	-	-	-	-
	Grand Total	-	-	-	-

Details of Investors Complaints

Data for every month ending:
Year:
Product:

February
2023
Delisting of Equity Shares

S.no	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month	Total Pending during the particular month	Pending complaints > 1 month	Average Resolution time (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	Grand Total	-	-	-	-	-	-

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

S.no	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month	Pending at the end of the particular month
1	October, 2022	-	-	-	-
2	November, 2022	-	-	-	-
3	December, 2022	-	-	-	-
4	January, 2023	-	-	-	-
5	February, 2023	-	-	-	-
	Grand Total	-	-	-	-

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	-	-	-	-
2	2022	-	-	-	-
3	2023	-	-	-	-
4	2024	-	-	-	-
5	2025	-	-	-	-
	Grand Total	-	-	-	-

Details of Investors Complaints

Data for every month ending:

February

Year:

2023

Product:

Substantial Acquisitions of Shares and Takeovers

S.no	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month	Total Pending during the particular month	Pending complaints > 1 month	Average Resolution time (in days)
1	Directly from Investors	2	-	1	1	1	14
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	Grand Total	2	-	1	1	1	-

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

S.no	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month	Pending at the end of the particular month
1	October, 2022	-	-	-	-
2	November, 2022	-	4	1	3
3	December, 2022	3	7	6	4
4	January, 2023	4	2	5	2
5	January, 2023	2	-	1	1
	Grand Total	9	13	13	10

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	-	-	-	-
2	2022	-	12	8	4
3	2023	4	2	5	1
4	2024	-	-	-	-
5	2025	-	-	-	-
	Grand Total	4	14	13	5

Details of Investors Complaints

Data for every month ending:

February

Year:

2023

Product:

SME IPO and FPO including OFS

S.no	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month	Total Pending during the particular month	Pending complaints > 1 month	Average Resolution time (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	Grand Total	-	-	-	-	-	-

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

S.no	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month	Pending at the end of the particular month
1	October, 2022	-	-	-	-
2	November, 2022	-	-	-	-
3	December, 2022	-	-	-	-
4	January, 2023	-	-	-	-
5	February, 2023	-	-	-	-
	Grand Total	-	-	-	-

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	-	-	-	-
2	2022	-	-	-	-
3	2023	-	-	-	-
4	2024	-	-	-	-
5	2025	-	-	-	-
	Grand Total	-	-	-	-